

STATE OF CONNECTICUT

DEPARTMENT OF SOCIAL SERVICES

55 FARMINGTON AVENUE – HARTFORD, CONNECTICUT 06105-5033

7-7-16

Deborah Monahan
Executive Director
Thames Valley Council for Community Action, Inc.
One Sylvandale Road
Jewett City, CT 06351

CONTRACT #: 13DSS1501ZK / 104C-CBG-38
PERIOD: 10/1/2013 To 9/30/2016

AMOUNT: \$2,748,064.00
AMENDMENT: A2

Dear: Ms. Monahan:

I am pleased to inform you that the above referenced amendment has been fully executed and approved. Attached is a scanned copy of the amendment for your files.

Requests for Payment should be completed and directed to the program contact identified below. The Department will process requests for payment in accordance with the terms of the contract. Your receipt of payment is contingent upon the continued availability of funds and your agency's compliance with the terms of the contract.

For issues or concerns related to the Program please direct your inquiries to:

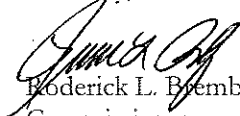
PROGRAM

Gretchen Yelmini
(986) 424-4874
gretchen.yelmini@ct.gov

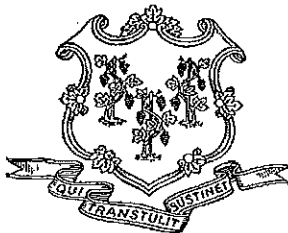
CONTRACT

Tina McGill
(860) 424-5082
tina.mcgill@ct.gov

Sincerely,


Roderick L. Bromby
Commissioner

C: Gretchen Yelmini
Cassie Norfleet-Johnson
Contract file



STATE OF CONNECTICUT
DEPARTMENT OF SOCIAL SERVICES

CONTRACT AMENDMENT

Contractor: Thames Valley Council for Community Action, Inc.

Contractor Address: One Sylvandale Road, Jewett City, CT 06351

Contract Number: 104C-CBG-38/ 13DSS1501ZK

Amendment Number: A2

Amount as Amended: \$2,748,064.00

Contract Term as Amended: 10/1/2013 9/30/2016

The contract between Thames Valley Council for Community Action Inc. ("Contractor") and the Connecticut Department of Social Services ("Department"), which was last executed by the parties on effective date: 11/17/14 hereby further amended as follows:

1. The total maximum amount payable under this contract has increased by \$52,867.00 from \$2,695,197.00 to \$2,748,064.00. This one-time increase is due to the various additions and/or reductions to FFY 15 and FFY 16 budget component revisions.
2. The budget on pages 2-3 of Amendment #1 is hereby deleted and shall be replaced in their entirety by pages 2-4 of this Amendment.

All terms and conditions of the original Contract, and any subsequent amendments thereto, which were not modified by this Amendment remain in full force and effect.

POS Budget (Revised 7/13)		CSBG & HSI Composite											
Contractor Name:		Thames Valley Council for Community Action, Inc. (TVCCA, Inc.)											
Program/Contract Number:		104C-CBG-38 / 13DSS15012K											
Program Name:		CSBG & HSI Composite											
		Budget											
Line #	Item	2013-2014 Year 1	(+ or -) Year 1 Adj.	2013-2014 Year 1 Rev.	2014-2015 Year 2	(+ or -) Year 2 Adj.	2014-2015 Year 2 Rev.	2015-2016 Year 3	(+ or -) Year 3 Adj.	2015-2016 Year 3 Rev.	2013-2016 3 Year Total	(+ or -) 3 Year Total Adj.	2013-2016 3 Year Total Rev.
1	CONTRACTUAL SERVICES												
	1a. Accounting	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	1b. Legal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	1c. Independent Audit	\$ 12,000	\$ -	\$ 12,000	\$ 12,000	\$ -	\$ 12,000	\$ 12,000	\$ -	\$ 12,000	\$ 36,000	\$ -	\$ 36,000
	1d. Other Contractual Services	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	TOTAL CONTRACTUAL SERVICES	\$ 12,000	\$ -	\$ 12,000	\$ 12,000	\$ -	\$ 12,000	\$ 12,000	\$ -	\$ 12,000	\$ 36,000	\$ -	\$ 36,000
2	ADMINISTRATION												
	2a. Admin. Salaries	\$ 46,326	\$ -	\$ 46,326	\$ 46,326	\$ -	\$ 46,326	\$ 46,326	\$ -	\$ 46,326	\$ 138,978	\$ -	\$ 138,978
	2b. Admin. Fringe Benefits	\$ 13,861	\$ -	\$ 13,861	\$ 13,861	\$ -	\$ 13,861	\$ 13,861	\$ -	\$ 13,861	\$ 41,583	\$ -	\$ 41,583
	2c. Admin. Overhead	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	TOTAL ADMINISTRATION	\$ 60,187	\$ -	\$ 60,187	\$ 60,187	\$ -	\$ 60,187	\$ 60,187	\$ -	\$ 60,187	\$ 180,561	\$ -	\$ 180,561
3	DIRECT PROGRAM STAFF												
	3a. Program Salaries	\$ 529,932	\$ -	\$ 529,932	\$ 529,932	\$ 19,239	\$ 549,171	\$ 529,932	\$ 16,476	\$ 546,408	\$ 1,589,796	\$ 35,715	\$ 1,625,511
	3b. Program Fringe Benefits	\$ 201,852	\$ -	\$ 201,852	\$ 201,852	\$ 11,945	\$ 213,797	\$ 201,852	\$ 3,587	\$ 205,439	\$ 605,556	\$ 15,532	\$ 621,088
	TOTAL DIRECT PROGRAM	\$ 731,784	\$ -	\$ 731,784	\$ 731,784	\$ 31,184	\$ 762,968	\$ 731,784	\$ 20,063	\$ 751,847	\$ 2,195,352	\$ 51,247	\$ 2,246,599
4	OTHER COSTS												
	4a. Program Rent	\$ 15,000	\$ -	\$ 15,000	\$ 15,000	\$ -	\$ 15,000	\$ 15,000	\$ -	\$ 15,000	\$ 45,000	\$ -	\$ 45,000
	4b. Consumable Supplies	\$ 33,228	\$ -	\$ 33,228	\$ 33,228	\$ 1,620	\$ 34,848	\$ 33,228	\$ -	\$ 33,228	\$ 99,684	\$ 1,620	\$ 101,304
	4c. Travel & Transportation	\$ 3,000	\$ -	\$ 3,000	\$ 3,000	\$ -	\$ 3,000	\$ 3,000	\$ -	\$ 3,000	\$ 9,000	\$ -	\$ 9,000
	4d. Utilities	\$ 9,000	\$ -	\$ 9,000	\$ 9,000	\$ -	\$ 9,000	\$ 9,000	\$ -	\$ 9,000	\$ 27,000	\$ -	\$ 27,000
	4e. Repairs & Maintenance	\$ 15,000	\$ -	\$ 15,000	\$ 15,000	\$ -	\$ 15,000	\$ 15,000	\$ -	\$ 15,000	\$ 45,000	\$ -	\$ 45,000
	4f. Insurance	\$ 7,200	\$ -	\$ 7,200	\$ 7,200	\$ -	\$ 7,200	\$ 7,200	\$ -	\$ 7,200	\$ 21,600	\$ -	\$ 21,600
	4g. Food & Related Costs	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	4h. Training & Conference Cost	\$ 3,000	\$ -	\$ 3,000	\$ 3,000	\$ -	\$ 3,000	\$ 3,000	\$ -	\$ 3,000	\$ 9,000	\$ -	\$ 9,000
	4i. Other Project Expenses	\$ 9,000	\$ -	\$ 9,000	\$ 9,000	\$ -	\$ 9,000	\$ 9,000	\$ -	\$ 9,000	\$ 27,000	\$ -	\$ 27,000
	TOTAL OTHER COSTS	\$ 94,428	\$ -	\$ 94,428	\$ 94,428	\$ 1,620	\$ 96,048	\$ 94,428	\$ -	\$ 94,428	\$ 283,284	\$ 1,620	\$ 284,904
5	EQUIPMENT	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
6	PROGRAM INCOME												
	6a. Fees	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -			\$ -
	6b. Other Income	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -			\$ -
	TOTAL PROGRAM INCOME	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
7	TOTAL NET PROGRAM COST (Sum of 1 through 5, minus Line 6)	\$ 898,399	\$ -	\$ 898,399	\$ 898,399	\$ 32,804	\$ 931,203	\$ 898,399	\$ 20,063	\$ 918,462	\$ 2,695,197	\$ 52,867	\$ 2,748,064

POS Budget (Revised 7/13)													CSBG
Contractor Name:		Thames Valley Council for Community Action, Inc. (TVCCA, Inc.)											
Program/Contract Number:		104C-CBG-38 / 13DSS1501ZK											
Program Name:		Community Services Block Grant (CSBG)											
		Budget											
Line #	Item	2013-2014 Year 1	(+ or -) Year 1 Adj.	2013-2014 Year 1 Rev.	2014-2015 Year 2	(+ or -) Year 2 Adj.	2014-2015 Year 2 Rev.	2015-2016 Year 3	(+ or -) Year 3 Adj.	2015-2016 Year 3 Rev.	2013-2016 3 Year Total	(+ or -) 3 Year Total Adj.	2013-2016 3 Year Total Rev.
1	CONTRACTUAL SERVICES												
	1a. Accounting	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -	\$ -	\$ -	\$ -
	1b. Legal	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -	\$ -	\$ -	\$ -
	1c. Independent Audit	\$ 12,000		\$ 12,000	\$ 12,000		\$ 12,000	\$ 12,000		\$ 12,000	\$ 36,000	\$ -	\$ 36,000
	1d. Other Contractual Services	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -	\$ -	\$ -	\$ -
	TOTAL CONTRACTUAL SERVICES	\$ 12,000	\$ -	\$ 12,000	\$ 12,000	\$ -	\$ 12,000	\$ 12,000	\$ -	\$ 12,000	\$ 36,000	\$ -	\$ 36,000
2	ADMINISTRATION												
	2a. Admin. Salaries	\$ 30,884		\$ 30,884	\$ 30,884		\$ 30,884	\$ 30,884		\$ 30,884	\$ 92,652	\$ -	\$ 92,652
	2b. Admin. Fringe Benefits	\$ 9,241		\$ 9,241	\$ 9,241		\$ 9,241	\$ 9,241		\$ 9,241	\$ 27,723	\$ -	\$ 27,723
	2c. Admin. Overhead	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -	\$ -	\$ -	\$ -
	TOTAL ADMINISTRATION	\$ 40,125	\$ -	\$ 40,125	\$ 40,125	\$ -	\$ 40,125	\$ 40,125	\$ -	\$ 40,125	\$ 120,375	\$ -	\$ 120,375
3	DIRECT PROGRAM STAFF												
	3a. Program Salaries	\$ 206,261		\$ 206,261	\$ 206,261	\$ 19,239	\$ 225,500	\$ 206,261	\$ 16,104	\$ 222,365	\$ 618,783	\$ 35,343	\$ 654,126
	3b. Program Fringe Benefits	\$ 86,942		\$ 86,942	\$ 86,942	\$ 11,945	\$ 98,887	\$ 86,942	\$ 9,963	\$ 96,905	\$ 260,826	\$ 21,908	\$ 282,734
	TOTAL DIRECT PROGRAM	\$ 293,203	\$ -	\$ 293,203	\$ 293,203	\$ 31,184	\$ 324,387	\$ 293,203	\$ 26,067	\$ 319,270	\$ 879,609	\$ 57,251	\$ 936,860
4	OTHER COSTS												
	4a. Program Rent	\$ 15,000		\$ 15,000	\$ 15,000		\$ 15,000	\$ 15,000		\$ 15,000	\$ 45,000		\$ 45,000
	4b. Consumable Supplies	\$ 33,228		\$ 33,228	\$ 33,228	\$ 1,620	\$ 34,848	\$ 33,228		\$ 33,228	\$ 99,684	\$ 1,620	\$ 101,304
	4c. Travel & Transportation	\$ 3,000		\$ 3,000	\$ 3,000		\$ 3,000	\$ 3,000		\$ 3,000	\$ 9,000		\$ 9,000
	4d. Utilities	\$ 9,000		\$ 9,000	\$ 9,000		\$ 9,000	\$ 9,000		\$ 9,000	\$ 27,000		\$ 27,000
	4e. Repairs & Maintenance	\$ 15,000		\$ 15,000	\$ 15,000		\$ 15,000	\$ 15,000		\$ 15,000	\$ 45,000		\$ 45,000
	4f. Insurance	\$ 7,200		\$ 7,200	\$ 7,200		\$ 7,200	\$ 7,200		\$ 7,200	\$ 21,600		\$ 21,600
	4g. Food & Related Costs	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -
	4h. Training & Conference Cost	\$ 3,000		\$ 3,000	\$ 3,000		\$ 3,000	\$ 3,000		\$ 3,000	\$ 9,000		\$ 9,000
	4i. Other Project Expenses	\$ 9,000		\$ 9,000	\$ 9,000		\$ 9,000	\$ 9,000		\$ 9,000	\$ 27,000		\$ 27,000
	TOTAL OTHER COSTS	\$ 94,428	\$ -	\$ 94,428	\$ 94,428	\$ 1,620	\$ 96,048	\$ 94,428	\$ -	\$ 94,428	\$ 283,284	\$ 1,620	\$ 284,904
5	EQUIPMENT	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -
6	PROGRAM INCOME												
	6a. Fees	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -			\$ -
	6b. Other Income	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -			\$ -
	TOTAL PROGRAM INCOME	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
7	TOTAL NET PROGRAM COST	\$ 439,756	\$ -	\$ 439,756	\$ 439,756	\$ 32,804	\$ 472,560	\$ 439,756	\$ 26,067	\$ 465,823	\$ 1,319,268	\$ 58,871	\$ 1,378,139
	(Sum of 1 through 5, minus Line 6)												

POS Budget (Revised 7/13)													HSI
Contractor Name:		Thames Valley Council for Community Action, Inc. (TVCCA, Inc.)											
Program/Contract Number:		104C-CBG-38 / 13DSS1501ZK											
Program Name:		Human Services Infrastructure (HSI)											
		Budget											
Line #	Item	2013-2014 Year 1	(+ or -) Year 1 Adj.	2013-2014 Year 1 Rev.	2014-2015 Year 2	(+ or -) Year 2 Adj.	2014-2015 Year 2 Rev.	2015-2016 Year 3	(+ or -) Year 3 Adj.	2015-2016 Year 3 Rev.	2013-2016 3 Year Total	(+ or -) 3 Year Total Adj.	2013-2016 3 Year Total Rev.
1	CONTRACTUAL SERVICES												
	1a. Accounting	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -	\$ -	\$ -	\$ -
	1b. Legal	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -	\$ -	\$ -	\$ -
	1c. Independent Audit	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -	\$ -	\$ -	\$ -
	1d. Other Contractual Services	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -	\$ -	\$ -	\$ -
	TOTAL CONTRACTUAL SERVICES	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2	ADMINISTRATION												
	2a. Admin. Salaries	\$ 15,442		\$ 15,442	\$ 15,442		\$ 15,442	\$ 15,442		\$ 15,442	\$ 46,326	\$ -	\$ 46,326
	2b. Admin. Fringe Benefits	\$ 4,620		\$ 4,620	\$ 4,620		\$ 4,620	\$ 4,620		\$ 4,620	\$ 13,860	\$ -	\$ 13,860
	2c. Admin. Overhead	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -	\$ -	\$ -	\$ -
	TOTAL ADMINISTRATION	\$ 20,062	\$ -	\$ 20,062	\$ 20,062	\$ -	\$ 20,062	\$ 20,062	\$ -	\$ 20,062	\$ 60,186	\$ -	\$ 60,186
3	DIRECT PROGRAM STAFF												
	3a. Program Salaries	\$ 323,671		\$ 323,671	\$ 323,671		\$ 323,671	\$ 323,671	\$ 372	\$ 324,043	\$ 971,013	\$ 372	\$ 971,385
	3b. Program Fringe Benefits	\$ 114,910		\$ 114,910	\$ 114,910		\$ 114,910	\$ 114,910	\$ (6,376)	\$ 108,534	\$ 344,730	\$ (6,376)	\$ 338,354
	TOTAL DIRECT PROGRAM	\$ 438,581	\$ -	\$ 438,581	\$ 438,581	\$ -	\$ 438,581	\$ 438,581	\$ (6,004)	\$ 432,577	\$ 1,315,743	\$ (6,004)	\$ 1,309,739
4	OTHER COSTS												
	4a. Program Rent	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -
	4b. Consumable Supplies	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -
	4c. Travel & Transportation	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -
	4d. Utilities	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -
	4e. Repairs & Maintenance	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -
	4f. Insurance	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -
	4g. Food & Related Costs	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -
	4h. Training & Conference Cost	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -
	4i. Other Project Expenses	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -
	TOTAL OTHER COSTS	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
5	EQUIPMENT	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -
6	PROGRAM INCOME												
	6a. Fees	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -			\$ -
	6b. Other Income	\$ -		\$ -	\$ -		\$ -	\$ -		\$ -			\$ -
	TOTAL PROGRAM INCOME	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
7	TOTAL NET PROGRAM COST	\$ 458,643	\$ -	\$ 458,643	\$ 458,643	\$ -	\$ 458,643	\$ 458,643	\$ (6,004)	\$ 452,639	\$ 1,375,929	\$ (6,004)	\$ 1,369,925
	(Sum of 1 through 5, minus Line 6)												

ACCEPTANCES AND APPROVALS

13DSS1501ZK/ 104C-CBG-38 A2

CONTRACTOR Thames Valley Council for Community Action, Inc.

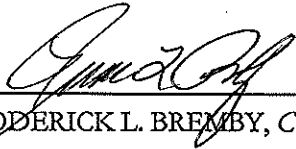


Deborah Monahan, Executive Director

6/30/16

Date

DEPARTMENT OF SOCIAL SERVICES




RODERICK L. BREMBY, Commissioner

7/7/16


Date

OFFICE OF THE ATTORNEY GENERAL

This contract does not require the approval of the Attorney General pursuant to an agreement between the Department and the Office of the Attorney General, dated 12/29/2015.



STATE OF CONNECTICUT



Current User: donna.locurlo@ct.gov
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CHRO Form

State of Connecticut

Commission On Human Rights and Opportunities (CHRO)
Workplace Analysis Affirmative Action Report
Employee Information Form

White - Not of Hispanic Origin
Black - Not of Hispanic Origin
Asian - Asian/Pacific Islander
Native - American Indian or Alaskan Native

Thames Valley Council for Community Action, Inc.

ID	Job Category	Totals	White Male	White Female	Black Male	Black Female	Hispanic Male	Hispanic Female	Asian Male	Asian Female	Native Male	Native Female
2809	Officials/Managers	50	12	33	0	1	0	0	2	1	1	0
2810	Professionals	142	6	102	1	9	1	19	1	3	0	0
2811	Technicians	2	2	0	0	0	0	0	0	0	0	0
2812	Sales Workers	0	0	0	0	0	0	0	0	0	0	0
2813	Office/Clerical	24	0	20	0	1	0	3	0	0	0	0
2814	Craft Workers (Skilled)	2	1	0	0	0	1	0	0	0	0	0
2815	Operatives (Semi-skilled)	7	5	1	0	0	0	1	0	0	0	0
2816	Laborers (Unskilled)	1	1	0	0	0	0	0	0	0	0	0
2817	Service Workers	139	21	80	2	13	4	16	0	1	0	2
	Totals	367	48	236	3	24	6	39	3	5	1	2

Do you use minority business as subcontractors or suppliers? ☒ Yes ☐ No Explain:

If CT based, do you post all employment openings with the State of Connecticut Employment Service? ☐ Yes ☒ No Explain: All jobs are posted on agency website and Job Central website.

Do you use an Affirmative Action Plan? ☒ Yes ☐ No Explain:

Describe your recruitment, hiring, training and promotion anti-discrimination practices. TVCCA is an equal opportunity agency and does not discriminate in any personnel matters.

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All State disclaimers and permissions apply.

Bn Counter 1,041



STATE OF CONNECTICUT
NONDISCRIMINATION CERTIFICATION — Affidavit
By Entity
For Contracts Valued at \$50,000 or More

Documentation in the form of an affidavit signed under penalty of false statement by a chief executive officer, president, chairperson, member, or other corporate officer duly authorized to adopt corporate, company, or partnership policy that certifies the contractor complies with the nondiscrimination agreements and warranties under Connecticut General Statutes §§ 4a-60 and 4a-60a, as amended

INSTRUCTIONS:

For use by an entity (corporation, limited liability company, or partnership) when entering into any contract type with the State of Connecticut valued at \$50,000 or more for any year of the contract. Complete all sections of the form. Sign form in the presence of a Commissioner of Superior Court or Notary Public. Submit to the awarding State agency prior to contract execution.

AFFIDAVIT:

I, the undersigned, am over the age of eighteen (18) and understand and appreciate the obligations of an oath. I am **Executive Director of Thames Valley Council for Community Action, Inc.**, an entity duly formed and existing under the laws of the State of Connecticut. I certify that I am authorized to execute and deliver this affidavit on behalf of Thames Valley Council for Community Action, Inc. and that Thames Valley Council for Community Action, Inc. has a policy in place that complies with the nondiscrimination agreements and warranties of Connecticut General Statutes §§ 4a-60 and 4a-60a, as amended.

Deborah Monahan
Deborah Monahan

Sworn and subscribed to before me on this 22nd day of April, 2016.

Dawn Bates
Commissioner of the Superior Court/
Notary Public

1/31/20
Commission Expiration Date

DAWN BATES
NOTARY PUBLIC OF CONNECTICUT
My Commission Expires 1/31/2020



STATE OF CONNECTICUT
NONDISCRIMINATION CERTIFICATION — New Resolution
By Entity
For Contracts Valued at \$50,000 or More

Documentation in the form of a corporate, company, or partnership policy adopted by resolution of the board of directors, shareholders, managers, members or other governing body of a contractor that certifies the contractor complies with the nondiscrimination agreements and warranties under Connecticut General Statutes §§ 4a-60(a)(1) and 4a-60a(a)(1), as amended

INSTRUCTIONS:

For use by an entity (corporation, limited liability company, or partnership) when entering into any contract type with the State of Connecticut valued at \$50,000 or more for any year of the contract. Complete all sections of the form. Submit to the awarding State agency prior to contract execution.

CERTIFICATION OF RESOLUTION:

I, **Deborah Monahan, Executive Director of Thames Valley Council for Community Action, Inc.**, an entity duly formed and existing under the laws of the State of Connecticut, certify that the following is a true and correct copy of a resolution adopted on the 4th day of August, 2015 by the governing body of Thames Valley Council for Community Action, Inc., in accordance with all of its documents of governance and management and the laws of the State of Connecticut and further certify that such resolution has not been modified or revoked, and is in full force and effect.

RESOLVED: That the policies of **Thames Valley Council for Community Action, Inc.** comply with the nondiscrimination agreements and warranties of Connecticut General Statutes §§ 4a-60(a)(1) and 4a-60a(a)(1), as amended.

The undersigned has executed this certificate this 5th day of August, 2015.
Month Year

Deborah Monahan
Deborah Monahan

8/5/15
Date



STATE OF CONNECTICUT

GIFT AND CAMPAIGN CONTRIBUTION CERTIFICATION

Written or electronic certification to accompany a State contract with a value of \$50,000 or more in a calendar or fiscal year, pursuant to C.G.S. §§ 4-250 and 4-252(c); Governor M. Jodi Rell's Executive Orders No. 1, Para. 8, and No. 7C, Para. 10; and C.G.S. §9-612(g)(2)

INSTRUCTIONS:

Complete all sections of the form. Attach additional pages, if necessary, to provide full disclosure about any lawful campaign contributions made to campaigns of candidates for statewide public office or the General Assembly, as described herein. Sign and date the form, under oath, in the presence of a Commissioner of the Superior Court or Notary Public. Submit the completed form to the awarding State agency at the time of initial contract execution and if there is a change in the information contained in the most recently filed certification, such person shall submit an updated certification either (i) not later than thirty (30) days after the effective date of such change or (ii) upon the submittal of any new bid or proposal for a contract, whichever is earlier. Such person shall also submit an accurate, updated certification not later than fourteen days after the twelve-month anniversary of the most recently filed certification or updated certification.

CHECK ONE: ☐ Initial Certification ☒ 12 Month Anniversary Update (Multi-year contracts only.)
☐ Updated Certification because of change of information contained in the most recently filed certification or twelve-month anniversary update.

GIFT CERTIFICATION:

As used in this certification, the following terms have the meaning set forth below:

- 1) "Contract" means that contract between the State of Connecticut (and/or one or more of its agencies or instrumentalities) and the Contractor, attached hereto, or as otherwise described by the awarding State agency below;
- 2) If this is an Initial Certification, "Execution Date" means the date the Contract is fully executed by, and becomes effective between, the parties; if this is a twelve-month anniversary update, "Execution Date" means the date this certification is signed by the Contractor;
- 3) "Contractor" means the person, firm or corporation named as the contractor below;
- 4) "Applicable Public Official or State Employee" means any public official or state employee described in C.G.S. §4-252(c)(1)(i) or (ii);
- 5) "Gift" has the same meaning given that term in C.G.S. § 4-250(1);
- 6) "Principals or Key Personnel" means and refers to those principals and key personnel of the Contractor, and its or their agents, as described in C.G.S. §§ 4-250(5) and 4-252(c)(1)(B) and (C).

I, the undersigned, am a Principal or Key Personnel of the person, firm or corporation authorized to execute this certification on behalf of the Contractor. I hereby certify that, no gifts were made by (A) such person, firm, corporation, (B) any principals and key personnel of the person firm or corporation who participate substantially in preparing bids, proposals or negotiating state contracts or (C) any agent of such, firm, corporation, or principals or key personnel who participates substantially in preparing bids, proposals or negotiating state contracts, to (i) any public official or state employee of the state agency or quasi-public agency soliciting bids or proposals for state contracts who participates substantially in the preparation of bid solicitations or request for proposals for state contracts or the negotiation or award of state contracts or (ii) any public official or state employee of any other state agency, who has supervisory or appointing authority over such state agency or quasi-public agency.

I further certify that no Principals or Key Personnel know of any action by the Contractor to circumvent (or which would result in the circumvention of) the above certification regarding Gifts by providing for any other Principals, Key Personnel, officials, or employees of the Contractor, or its or their agents, to make a Gift to any Applicable Public Official or State Employee. I further certify that the Contractor made the bid or proposal for the Contract without fraud or collusion with any person.

CAMPAIGN CONTRIBUTION CERTIFICATION:

I further certify that, on or after December 31, 2006, neither the Contractor nor any of its principals, as defined in C.G.S. § 9-612(g)(1), has made any **campaign contributions** to, or solicited any contributions on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support, any candidate for statewide public office, in violation of C.G.S. § 9-612(g)(2)(A). I further certify that **all lawful campaign contributions** that have been made on or after December 31, 2006 by the Contractor or any of its principals, as defined in C.G.S. § 9-612(g)(1), to, or solicited on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support any candidates for statewide public office or the General Assembly, are listed below:

Lawful Campaign Contributions to Candidates for Statewide Public Office:

<u>Contribution</u>	<u>Date</u>	<u>Name of Contributor</u>	<u>Recipient</u>	<u>Value</u>	<u>Description</u>

Lawful Campaign Contributions to Candidates for the General Assembly:

<u>Contribution</u>	<u>Date</u>	<u>Name of Contributor</u>	<u>Recipient</u>	<u>Value</u>	<u>Description</u>

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

Thames Valley Council for Community Action, Inc.
Printed Contractor Name

Deborah Monahan
Deborah Monahan, Executive Director

Subscribed and acknowledged before me this 31st day of July, 2015.

DAWN BATES
NOTARY PUBLIC OF CONNECTICUT
My Commission Expires 1/31/2020

Dawn Bates
Commissioner of the Superior Court or Notary Public



STATE OF CONNECTICUT CONSULTING AGREEMENT AFFIDAVIT

Affidavit to accompany a bid or proposal for the purchase of goods and services with a value of \$50,000 or more in a calendar or fiscal year, pursuant to Connecticut General Statutes §§ 4a-81(a) and 4a-81(b). For sole source or no bid contracts the form is submitted at time of contract execution.

INSTRUCTIONS:

If the bidder or vendor has entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1): Complete all sections of the form. If the bidder or contractor has entered into more than one such consulting agreement, use a separate form for each agreement. Sign and date the form in the presence of a Commissioner of the Superior Court or Notary Public. If the bidder or contractor has not entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1): Complete only the shaded section of the form. Sign and date the form in the presence of a Commissioner of the Superior Court or Notary Public.

Submit completed form to the awarding State agency with bid or proposal. For a sole source award, submit completed form to the awarding State agency at the time of contract execution.

This affidavit must be amended if there is any change in the information contained in the most recently filed affidavit not later than (i) thirty days after the effective date of any such change or (ii) upon the submittal of any new bid or proposal, whichever is earlier.

AFFIDAVIT: [Number of Affidavits Sworn and Subscribed On This Day: ____]

I, the undersigned, hereby swear that I am a principal or key personnel of the bidder or contractor awarded a contract, as described in Connecticut General Statutes § 4a-81(b), or that I am the individual awarded such a contract who is authorized to execute such contract. I further swear that I have not entered into any consulting agreement in connection with such contract, except for the agreement listed below:

Consultant's Name and Title

Name of Firm (if applicable)

Start Date

End Date

Cost

Description of Services Provided:

Is the consultant a former State employee or former public official? ☐ YES ☐ NO

If YES:

Name of Former State Agency

Termination Date of Employment

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

Thames Valley Council for Community Action, Inc.
Printed Contractor Name

Deborah Monahan
Deborah Monahan, Executive Director

Department of Housing
Awarding State Agency

Subscribed and acknowledged before me this 31st day of July, 2015

Dawn Bates
Commissioner of the Superior Court or Notary Public

DAWN BATES
NOTARY PUBLIC OF CONNECTICUT
My Commission Expires 1/31/2020



STATE OF CONNECTICUT

Written or electronic PDF copy of the written certification to accompany a large state contract pursuant to P.A. No. 13-162 (Prohibiting State Contracts With Entities Making Certain Investments In Iran)

Respondent Name: Thames Valley Council for Community Action, Inc.

INSTRUCTIONS:**CHECK ONE:**
☒

Initial Certification.

☐

Amendment or renewal.

A. Who must complete and submit this form. Effective October 1, 2013, this form must be submitted for any large state contract, as defined in section 4-250 of the Connecticut General Statutes. This form must always be submitted with the bid or proposal, or if there was no bid process, with the resulting contract, regardless of where the principal place of business is located.

Pursuant to P.A. No. 13-162, upon submission of a bid or prior to executing a large state contract, **the certification portion of this form must be completed** by any corporation, general partnership, limited partnership, limited liability partnership, joint venture, nonprofit organization or other business organization **whose principal place of business is located outside of the United States**. United States subsidiaries of foreign corporations are exempt. For purposes of this form, a "foreign corporation" is one that is organized and incorporated outside the United States of America.

Check applicable box:

☐ Respondent's principal place of business is within the United States or Respondent is a United States subsidiary of a foreign corporation. Respondents who check this box **are not required to complete the certification portion of this form**, but must submit this form with its Invitation to Bid ("ITB"), Request for Proposal ("RFP") or contract package if there was no bid process.

☐ Respondent's principal place of business is outside the United States and it is not a United States subsidiary of a foreign corporation. **CERTIFICATION required.** Please complete the certification portion of this form and submit it with the ITB or RFP response or contract package if there was no bid process.

B. Additional definitions.

- 1) "Large state contract" has the same meaning as defined in section 4-250 of the Connecticut General Statutes;
- 2) "Respondent" means the person whose name is set forth at the beginning of this form; an
- 3) "State agency" and "quasi-public agency" have the same meanings as provided in section 1-79 of the Connecticut General Statutes.

C. Certification requirements.

No state agency or quasi-public agency shall enter into any large state contract, or amend or renew any such contract with any Respondent whose principal place of business is located outside the United States and is not a United States subsidiary of a foreign corporation unless the Respondent has submitted this certification.

Complete all sections of this certification and sign and date it, under oath, in the presence of a Commissioner of the Superior Court, a Notary Public or a person authorized to take an oath in another state.

CERTIFICATION:

I, the undersigned, am the official authorized to execute contracts on behalf of the Respondent. I certify that:

☐ Respondent has made no direct investments of twenty million dollars or more in the energy sector of Iran on or after October 1, 2013, as described in Section 202 of the Comprehensive Iran Sanctions, Accountability and Divestment Act of 2010.

☐ Respondent has either made direct investments of twenty million dollars or more in the energy sector of Iran on or after October 1, 2013, as described in Section 202 of the Comprehensive Iran Sanctions, Accountability and Divestment Act of 2010, or Respondent made such an investment prior to October 1, 2013 and has now increased or renewed such an investment on or after said date, or both.

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

Thames Valley Council for Community Action, Inc.

Deborah Monahan
Deborah Monahan, Executive Director

Subscribed and acknowledged before me this 2nd day of July, 2015.

Dawn Bates
Commissioner of the Superior Court (or Notary Public)

1/31/20
My Commission Expires

DAWN BATES
NOTARY PUBLIC OF CONNECTICUT
My Commission Expires 1/31/2020